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Substitute Form PTO-1449 (Modified)

(37 CFR §1.98(b))

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 12732-033001

Application No.
Not yet assigned

Information Disclosure Statement by Applicant (Use several sheets if necessary) Applicant Shunpei YAMAZAKI et al.

Filing Date Group Art Unit April 25, 2001 Unknown

04/25/01

U.S. Patent Documents							
Examiner Initial	Desig. ID	Patent Number	Issue Date	Patentee	Class	Subclass	Filing Date If Appropriate
EK	AA	5,643,826	07/1/1997	Ohtani et al.			
EK_	AB	5,923,962	07/13/1999	Ohtani et al.			
	AC						
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	AE					-	
	AF						
	AG						

	Foreign Patent Documents or Published Foreign Patent Applications								
Examiner	Desig.	Document	Publication	Country or	Class	Cubalasa		lation	
Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No	
	AH								
	AI					_			
	AJ								

	Other Documents (include Author, Title, Date, and Place of Publication)						
Examiner	Desig.						
Initial	ID	Document					
EK	AK	Tsutsui et al., "Electroluminescence in Organic Thin Films", Photochemical Processes in Organized Molecular Systems, 1991, pp. 437-450.					
EK_	AL	M. A. Baldo et al., "Highly Efficient Phosphorescent Emission from Organic Electroluminescent Devices", Nature Vol. 395, September 10, 1998, pp. 151-154.					
EK	AM	M. A. Baldo et al., "Very High-Efficiency Green Organic Light-Emitting Devices Based on Electrophosphorescence", Applied Physics Letters Vol. 75, No. 1, July 5, 1999, pp. 4-6					
CK-	AN	Tsutsui et al., "High Quantum Efficiency in Organic Light-Emitting Devices with Irdium-Comolex as a Triplet Emissive Center", Japanese Journal of Applied Physics Vol. 38, Part 12B, December 15, 1999, pp. L1502-L1504.					
EK	AO	Japanese Patent Application Laid-Open No. 7-130652 (English Abstract attached) Publication Date 05/19/1995					
EK	AP	Japanese Patent Application Laid-Open No. 8-96959 (English Abstract attached) Publication Date 04/12/1996					
EK	AQ	Japanese Patent Application Laid-Open No. 9-63770 (English Abstract attached) Publication Date 03/07/1997					
EK-	AR	U.S. Patent Application No. 09/724,387					
EK-	AS	U.S. Patent Application No. 09/760,499					

Examiner Signature	Date Considered /D/Z/DZ					
EXAMINER: Initials citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						

Part #8 Sheet 1 of 1

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademan Commerce

Attorney's Docket No. 12732-033001

Application No. 09/841,156

Information Disclosure Statement by Applicant (Use several sheets if necessary)

Applicant Shunpei Yamazaki et al.

Group Art Unit 2812

(37 CFR §1.98(b))

Filing Date April 25, 2001

U.S. Patent Documents Filing Date Patent Examiner Desig. Patentee Subclass If Appropriate ID Number **Issue Date** Class Initial Miyazaki et al. 03/13/1998 6,031,290 02/29/2000 AAAB AC AD ΑE AF AG AH ΑI AJ ΑK

	Foreign Patent Documents or Published Foreign Patent Applications								
	Examiner	Desig.	Document	Publication	Country or			Trans	lation
À	ر Initial	ID	Number	Date	Patent Office	Class	Subclass	Yes	No
<i>X</i> 1	5K	AL	6-148685	05/27/1994	Japan			Abs	
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177	EL	AN	8-274336	10/18/1996	Japan			Abs	
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	Other Documents (include Author, Title, Date, and Place of Publication)						
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Examiner Signature	Date Considered ///L/02				
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Substitute Disclosure Form (PTO-1449)

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Part of #9
Sheet 1 of 1

Substitute Form PTO-143 (Modified)

U.S. Department of Commerce Patent and Trademark Office Attorney's Docket No. 12732-033001

Application No. 09/841,156

Information Disclosure Statement
by Applicant
(Use several sheets if necessary)

Applicant

Shunpei YAMAZAKI et al.

Filing Date

Group Art Unit -

(37 CFR §1.98(b))

April 25, 2001

2812

	U.S. Patent Documents							
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	Foreign Patent Documents or Published Foreign Patent Applications								
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EK	AL	2001-331120	11/30/2001	Japan	_		Abs		
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	AP								

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next communication to applicant.						

Substitute Disclosure Form (PTO-1449)



Part of #11 Sheet <u>1</u> of <u>1</u>

Substitute Form PTO-1449 (Modified)

U.S. Department of Commerce Patent and Trademark Office

Attorney's Docket No. 12732-033001

Application No. 09/841,156

by Applicant (Use several sheets if necessary)

Applicant Shunpei YAMAZAKI et al.

Il. Group Art Unit

(37 CFR §1.98(b))

Filing Date April 25, 2001

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			U.S. Paten	t Documents		유	
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Examiner Initial	Desig.	Document Number	Publication Date	Country or Patent Office	Class	Subclass		slation No
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EK	AM	7-235680	09/05/1995	Japan			Full	
CK	AN	8-274336	10/18/1996	Japan	_		Full	
	AO							
	AP							

Other Documents (include Author, Title, Date, and Place of Publication)					
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	AQ				
	AR				
	AS				
	AT				

Examiner Signature	Date Considered /D/z_///Z
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